



New Zealand Gazette

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Authorities and Other Agencies of State Notices

Securities Commission

Securities Act 1978

Proposed Recommendation for Regulations to Amend Securities Regulations 1983

Pursuant to section 70(3) of the Securities Act 1978, the Securities Commission ("Commission") gives notice of its intention to recommend that Regulations be made amending the Securities Regulations 1983.

The proposed Regulations will make an amendment to the information about investment adviser disclosure required to

be included in investment statements pursuant to clause 1(1) of Schedule 3D to the Securities Regulations 1983, to reflect amendments to the Securities Markets Act 1988 that will be in force from 29 February 2008.

A copy of the proposed recommendation may be inspected at the offices of the Commission, Level 8, Unisys House, 56 The Terrace, Wellington, during normal office hours and may be accessed at the Commission's website

www.seccom.govt.nz

Dated at Wellington this 7th day of February 2008.

The Common Seal of the Securities Commission was affixed in the presence of:

[L.S.]

COLIN BEYER, Member.

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